

#4  
mm  
8-1601  
PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Charles Tresser, et al.

**Docket:** YOR920000598US1(13893)

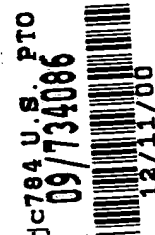
**Serial No.:** Unassigned

**Dated:** December 11, 2000

**Filed:** Herewith

**For:** SECURE ELECTRONIC STOCKS AND  
OTHER TITLES AND INSTRUMENTS

Assistant Commissioner for Patents  
Washington, DC 20231



**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,893,907, issued April 13, 1999 to Ukuda;
2. U.S. Patent No. 5,710,834, issued January 20, 1998 to Rhoads;

---

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Label Number: EL748591181US  
Date of Deposit: December 11, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. '1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Dated: December 11, 2000

Mishelle Mustafa

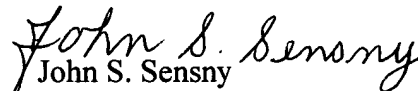
gc\g:\ibm\105\13893\amend\13893.id1

3. U.S. Patent No. 5,636,292, issued June 3, 1997 to Rhoads;
4. Menezes. A., et al., "Handbook of APPLIED CRYPTOGRAPHY", CRC Press, Chapter 8; pp. 25-32, 283-319; and
5. Smith, S., et al., "Using a High-Performance, Programmable Secure Coprocessor", IBM T.J. Watson Research Center, Yorktown Heights, New York, pp. 73-89.

Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no petition, certification or fee is required.

Respectfully submitted,

  
John S. Sensny  
Registration No. 28,757

SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343  
JSS:gc